527 Roll OWTT 11 DEC 2000

Practitioner's Docket No. 55475 (968)

CHAPTER II

TRANSMITTAL LETTER TO THE UNITED STATES ELECTED OFFICE (EO/US) (ENTRY INTO U.S. NATIONAL PHASE UNDER CHAPTER II)

PCT/JP99/03019	June 7, 1999	June 11, 1998
INTERNATIONAL APPLICATION NO.	INTERNATIONAL FILING DATE	PRIORITY DATE CLAIMED
COLLAGEN MATERIAL AT	D ITS PRODUCTION PROCES	S
TITLE OF INVENTION		
TITLE OF ELLERANCE		
Yasuhiko SHIMIZU		
APPLICANTS		
APPLICANTS		

Box PCT

Assistant Commissioner for Patents Washington D.C. 20231

ATTENTION: EO/US

NOTE: To avoid abandonment of the application, the applicant shall furnish to the USPTO, not later than 20 months from the priority date: (1) a copy of the international application, unless it has been previously communicated by the International Bureau or unless it was originally filed in the USPTO; and (2) the basic national fee (see 37 C.F.R. § 1.492(a)). The 30-month time limit may not be extended. 37 C.F.R § 1.495.

WARNING:

Where the items are those which can be submitted to complete the entry of the international application into the national phase are subsequent to 30 months from the priority date the application is still considered to be in the international state and if mailing procedures are utilized to obtain a date the express mail procedure of 37 C.F.R. §1.10 must be used (since international application papers are not covered by an ordinary certificate of mailing - See 37 C.F.R. §1.8.

NOTE: Documents and fees must be clearly identified as a submission to enter the national state under 35 USC 371 otherwise the submission will be considered as being made under 35 USC 111. 37 C.F.R. § 1.494(f).

CERTIFICATION UNDER 37 C.F.R. § 1.10* (Express Mail label number is mandatory.)

(Express Mail certification is optional.)

I hereby certify that this paper, along with any document referred to, is being deposited with the United States Postal Service on this December 11, 2000 , in an envelope as "Express Mail Post Office to Addressee," mailing Label Number EL196831742US addressed to the: Assistant Commissioner for Patents, Washington, D.C. 20231

(type or print name of person mailing pape

Laura M. McGuire

Signature of person mailing paper Certificate of mailing (first class) or facsimile transmission procedures of 37 C.F.R § 1 8 cannot be used to obtain a date WARNING: of mailing or transmission for this correspondence

Each paper or fee filed by "Express Mail" must have the number of the "Express Mail" mailing label placed thereon *WARNING: prior to mailing. 37 C.F.R. § 1.10(b)

"Since the filing of correspondence under § 1.10 without the Express Mail mailing label thereon is an oversight that can be avoided by the exercise of reasonable care, requests for waiver of this requirement will not be granted on petition." Notice of Oct. 24, 1996, 60 Fed. Reg. 56,439, at 56,442

(Transmittal Letter to the United States Elected Office (EO/US)-page 1 of 7)

09/719316 528 Reç'd PCT/PTO 11 DEC 2000

- Applicant herewith submits to the United States Elected Office (EO/US) the following items under 35 U.S.C. 371:
 - a. [X] This express request to immediately begin national examination procedures (35 U.S.C. 371(f)).
 - b. [X] The U.S. National Fee (35 U.S.C. 371(c)(1)) and other fees (37 C.F.R. § 1.492) as indicated below:

2. Fees

CLAIMS	(1) FOR	(2) NUMBER	(3) NUMBER	(4) RATE	(5) CALCULATIONS
FEE	.,	FILED	EXTRA	()	(,,
1.1*	TOTAL CLAIMS	28 - 20 =	8	x \$18.00=	\$144.00
	INDEPENDENT	4 - 3 =	1	x S 80.00 =	\$80.00
	CLAIMS				
	MULTIPLE DEPEN	DENT CLAIM(S)	(if applicable) + \$	270.00	\$270.00
BASIC FEE**	EXAMIN Where an 1,482 has [] [X] U.S. PTO EXAMIN Where no in § 1,482 internation PTO: [] [] [X]	and the international that the criteria of in obviousness) and in obviousness) and in Article 33(2) to (4) appresented in the 33(2) to (4) and the above required by the above represented by the above represented by the above represented by the above represented by the above required by the above required by the above represented by the above required by the above	TY imary examination cernational application of the preliminary examovelly, inventives the dustrial activity, as have been satisfied obtained activity, as have been satisfied obtained and the content of the content o	fee as set forth in \$ on to the U.S. PTO: ination report states sep (non- ination report state	\$860.00
SMALI.	Reduction by ½ for t	Sling by small antit		f above Calculations	= \$1354.00 - \$677.00
ENTITY	(note 37 CFR 1.9, 1.		y, ii applicable. Att	idavii inusi de Illeti.	- 3077.00
		\$677.00			
				Total National Fee	\$677.00
	Fee for recording the (See Item 13 below).	\$40.00			
TOTAL				Total Fees enclosed	\$717.00

1.	[X]	A check in the amount of _\S/17.09	to cover the above fees is enclosed.
ii.	[]	Please charge Account No	in the amount of \$
	A dup	licate conv of this sheet is enclosed.	

(Transmittal Letter to the United States Elected Office (EO/US)-page 2 of 7)

5.

**WARNING: "To avoid abandonment of the application the applicant shall furnish to the United States Patent and Trademark Office not later than the expiration of 30 months from the priority date: ** * (2) the basic national fee feee § 1.492(a)). The 30-month me limit may not be extended. "37 CF. R. \$ 1.495(a)

WARNING If the translation of the international application and/or the oath or declaration have not been submitted by the applicant within thirty (30) months from the priority date, such requirements may be met within a time period set by the Office. 37 C.F.R. § 1.495(0). The payment of the surcharge set forth in § 1.492(e) is required as a condition for accepting the oath or declaration later than thirty (30) months after the priority date. The payment of the processing fee set forth in § 1.492(b) is required for

montas after the priority aate. I ne payment of the processing fee set forth in § 1.492(f) is required for acceptance of an English translation later than thirty (30) monts after the priority date. Faiture to comply with these requirements will result in abandonment of the application. The provisions of § 1.136 apply to the period which is set. Notice of Jan. 3, 1993, 1147 OG. 29 to 40.

upply to the period which is see. Holice by San. 3, 1973, 1147 (3.0. 2) to 40.

3. [X] A copy of the International application as filed (35 U.S.C. 371(c)(2)):

NOTE:	Section 1.495 (b) was amended to require that the basic national fee and a copy of the international application
	must be filed with the Office by 30 months from the priority date to avoid abandonment "The International
	Bureau normally provides the copy of the international application to the Office in accordance with PCT Article
	20. At the same time, the International Bureau notifies applicant of the communication to the Office. In
	accordance with PCT Rule 47.1, that notice shall be accepted by all designated offices as conclusive evidence the
	the communication has duly taken place. Thus, if the applicant desires to enter the national stage, the applicant
	normally need only check to be sure the notice from the International Bureau has been received and then pay the
	basic national fee by 30 months from the priority date." Notice of Jan. 7, 1993, 1147 O.G. 29 to 40, at 35-36. See
	item 14c below.

a. b.	[]	is transmitted herewith. is not required, as the application was filed with the United States Receiving Office.
c.	[X] i.	Office. Ass been transmitted [X] by the International Bureau. Date of mailing of the application (from form PCT/IB/308): 16 December 1999
	ii.	[] by applicant on
[X]	A trans	slation of the International application into the English language (35 U.S.C. (2)):
a.	[X]	is transmitted herewith.
b.	ίĭ	is not required as the application was filed in English.
c.	ìί	was previously transmitted by applicant on
	. ,	Date
d.	[]	will follow.
[]		dments to the claims of the International application under PCT Article 19 (35 371(c)(3)):

NOTE: The Notice of January 7, 1993 points out that 37 C.F.R. § 1.495(a) was amended to clarify the existing and containing practice that PCI Article 19 amendments must be submitted by 30 months from the prorty date and this deadline may not be extended. The Notice further advices that: "The failure to do so will not result in loss of the subject matter of the PCI Article 19 amendments. Applicant may submit that subject matter in a preliminary amendment fled under section 1.121 in many cases, filting an amendment under section 1.121 is preferable since grammatical or idiomatic errors may be corrected "1147 OC. 3-94, at 36.

a.	[]	are transmitted herewith.		
b.	1.1	have been transmitted		

(Transmittal Letter to the United States Elected Office (EO/US)-page 3 of 7)

09/719310 598 3882 877877 11 DEC 2000

		i. ii.	[] by the International Bureau. Date of mailing of the amendment (from form PCT/IB/308): [] by applicant on				
			Date				
	c.	[X] i.	have not been transmitted as [X] applicant chose not to make amendments under PCT Article 19. Date of mailing of Search Report (from form PCT/ISA/210): 7 September 1999				
		ii.	[] the time limit for the submission of amendments has not yet expired. The amendments or a statement that amendments have not been made will be transmitted before the expiration of the time limit under PCT Rule 46.1.				
6.	[X]	A trans	lation of the amendments to the claims under PCT Article 19 (38 U.S.C. 31):				
	a. b. c.	[] [x]	is transmitted herewith. is not required as the amendments were made in the English language. has not been transmitted for reasons indicated at point 5(c) above.				
7.	[X]	A copy	of the international examination report (PCT/IPEA/409) is transmitted herewith.				
		[]	is not required as the application was filed with the United States Receiving Office.				
8.	[] a.	Annex	(es) to the international preliminary examination report is/are transmitted herewith.				
	b.	[]	is/are not required as the application was filed with the United States Receiving Office.				
9.	[] a.	A trans	lation of the annexes to the international preliminary examination report is transmitted herewith.				
	b.	[]	is not required as the annexes are in the English language.				
10.	[X]	An oat U.S.C.	n or declaration of the inventor (35 U.S.C. 371(c)(4)) complying with 35				
	a.	[]	was previously submitted by applicant on				
	b.	[X]	is submitted herewith, and such oath or declaration				
		i. ii.	 [X] is attached to the application. [] identifies the application and any amendments under PCT Article 19 that were transmitted as stated in points 3(b) or 3(c) and 5(b); and states that they were reviewed by the inventor as required by 37 C.F.R. 1.70. 				
		iii.	[] will follow.				
Other o	documer	nt(s) or i	nformation included:				
11.	[X]	An Into	ernational Search Report (PCT/ISA/210) or Declaration under PCT Article				
	a.	[X]	is transmitted herewith.				
	b.	[]	has been transmitted by the International Bureau. Date of mailing (from form PCT/IB/308):				

THE PERSON OF

(Transmittal Letter to the United States Elected Office (EO/US)-page 4 of 7)

· process

09/719510 528 Rec'd PCT/PTO 11 DEC 2000

	c.	[] is not required, as the application was searched by the United States International Searching Authority.
	d.	will be transmitted promptly upon request. has been submitted by applicant on
	e.	[] has been submitted by applicant on Date
12.	[X]	An Information Disclosure Statement under 37 C.F.R. 1.97 and 1.98:
	a.	Also transmitted herewith is/are: [] Form PTO-1449 (PTO/SB/08A and 08B).
	b.	Copies of citations listed. [X] will be transmitted within THREE MONTHS of the date of submission of
	c.	requirements under 35 U.S.C. 371(c). [] was previously submitted by applicant on
		Date
13.	[X]	An assignment document is transmitted herewith for recording.
		are [X] "COVER SHEET FOR ASSIGNMENT (DOCUMENT) ACCOMPANYING ATENT APPLICATION" or [] FORM PTO 1595 is also attached.
14.	[X]	Additional documents:
	a. b	[X] Copy of request (PCT/RO/101) [X] International Publication NoWO99/64655
	D.	i. Specification, claims and drawing
		ii. [X] Front page only
	c. d.	[X] Preliminary amendment (37 C.F.R. § 1.121) [X] Other
		Verified Statement Claiming Small Entity Status Search Report Citations: JP1-35664B, JP8-35193A, JP4-61862A, JP57-29359A Form PCT/IB/301
		Form PCT/IB/304
		Form PCT/IB/308
		Form PCT/IB/332
15.	[X]	The above checked items are being transmitted
	a. b.	[X] before 30 months from any claimed priority date. [] after 30 months.
16.	[]	Certain requirements under 35 U.S.C. 371 were previously submitted by the applicant on, namely:

(Transmittal Letter to the United States Elected Office (EO/US)-page 5 of 7)

09/719316 528 Rec'd PCT/FTO 11 DEC 2000

AUTHORIZATION TO CHARGE ADDITIONAL FEES

WARNING:

Accurately count claims, especially multiple dependent claims, to avoid unexpected high charges if extra claims are authorized.

- NOTE: "A written request may be submitted in an application that is an authorization to treat any concurrent or future reply, requiring a petition for an extension of time under this paragraph for its timely submission, as incorporating a petition for extension of time for the appropriate length of time. An authorization to charge all required fees, fees under § 1.7, or all required extension of time fees will be transed as a constructive petition for an extension of time in any concurrent or future reply requiring a petition for an extension of time under this paragraph for its limely abmission. Submission of the fees of port in § 1.17 (a) will also be reveated as a constructive petition for an extension of time in any concurrent reply requiring a petition for an extension of time under this paragraph for its timely submission. "37 C.P. R. § 1.136(a)(3).
- NOTE: "Amounts of twenty-five dollars or less will not be returned unless specifically requested within a reasonable time, nor will the payer be notified of such amounts; amounts over twenty-five dollars may be returned by check or, if requested, by readit to a deposit account." 37 CFR, § 1.26(a).
 - [X] The Commissioner is hereby authorized to charge the following additional fees that may be required by this paper and during the entire pendency of this application to Account No. 04-1105.
 - [X] 37 C.F.R. 1.492(a)(1), (2), (3), and (4) (filing fees)

WARNING: Because failure to pay the national fee within 30 months without extension (37 C.F.R. § 1.495(b)(2)) results in abandonment of the application, it would be best to always check the above box.

- [X] 37 C.F.R. 1.492(b), (c) and (d) (presentation of extra claims)
- NOTE: Because additional fees for excess or multiple dependent claims not paid on filing or on later presentation must on only be paid or frese claims cancelled by mendment prior to the expiration of the true period set for response by the PTO in any notice of fee deficiency (37 C.F.R. § 1.492(d)), it might be best not to authorize the PTO to charge additional claim fees, except possible when dealing with memchaness after final action.
 - X] 37 C.F.R. 1.17 (application processing fees)
 - [X] 37 C.F.R. 1.17(a)(1)-(5)(extension fees pursuant to § 1.136(a).
 - 37 C.F.R. 1.18 (issue fee at or before mailing of Notice of Allowance, pursuant to 37 C.F.R. 1.311(b))
- NOTE: Where an authorization to charge the issue fee to a deposit account has been filed before the mailing of a Notice of Allowance, it is tissue fee will be automatically charged to the deposit account at the time of mailing the notice of allowance, 37 C.F.R. § 1,311(b).
- NOTE: 37 C.F.R. 1.28(b) requires "Notification of any change in loss of entitlement to small entity statuss must be filed in the application... prior to paying, or at the time of paying... issue fee." From the wording of 37 C.F.R. § 1.28(b). (a) notification is required if the change of status must be made even if the fee is paid as "other than a small entity" and (b) no notification is required if the change it to another small entity.
 - [X] 37 C.F.R. § 1.492(e) and (f) (surcharge fees for filing the declaration and/or filing an English translation of an International Application later than 30 months after the priority date).

(Transmittal Letter to the United States Elected Office (EO/US)-page 6 of 7)

09/719316 528 Rec'd PCT/PTO 11 DEC 2000

l١	DECEMBER,	2000
(1	1)600	

Reg. No.: 47,066

#146759

Tel. No.: (617) 523-3400

SIGNATURE OF PRACTITIONER

Kerri Pollard Schray (type or print name of practitioner)

Dike, Bronstein, Roberts & Cushman Intellectual Property Practice Group EDWARDS & ANGELL, LLP 130 Water Street P.O. Address

Boston, MA 02109

more the contract of the contr

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF INVENTOR Yasuhiko Shimizu		
SIGNATURE OF INVENTOR Unallips Swage	DATE: November 30, 2000	
NAME OF INVENTOR		
STONATURE OF INVENTOR	DATE:	-
MAME OF INVENTOR	<u> </u>	
SIGNATURE OF INVENTOR		-
NAME OF INVENTOR		
Stanature of Inventor		-
MAME OF INVENTOR		
SIGNATURE OF INVENTOR	DATE:	-
NAME OF INVENTOR		
SIGNATURE OF INVENTOR	DATE:	-
NAME OF INVENTOR	-	
SIGNATURE OF INVENTOR	DATE:	-
NAME OF INVENTOR		
SIGNATURE OF INVENTOR	DATE:	-
NAME OF INVENTOR		
SIGNATURE OF INVENTOR	DATE:	_
NAME OF INVENTOR		
SIGNATURE OF INVENTOR	DATE:	_

Express Mail No. EL196831742US

Docket No. 55474 Page 1 of 2 VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY Docket No. STATUS (37 CFR 1.9(f) AND 1.27 (c)) - SMALL BUSINESS CONCERN Filing Date Patent No. Issue Date Serial No. Applicant/ Yasuhiko Shimizu Patentee: Invention: COLLAGEN MATERIAL AND ITS PRODUCTION PROCESS I hereby declare that I am: the owner of the small business concern identified below: an official of the small business concern empowered to act on behalf of the concern identified below: NAME OF CONCERN: Tapic International Co., Ltd. ADDRESS OF CONCERN: 22-12, Toranomon 1-chome, Minato-ku, Tokyo 105-0001, Japan Bereby declare that the above-identified small business concern qualifies as a small business concern as defined in 3 CFR 121.3-18, and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees under Section 41(a) and (b) Title 35, United States Code, in that the number of employees of the concern, including those of its affiliates, does hot exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary hasis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both. Thereby declare that rights under contract or law have been conveyed to and remain with the small business concern dentified above with regard to the above identified invention described in: the specification filed herewith with title as listed above. the application identified above. the patent identified above. If the rights held by the above-identified small business concern are not exclusive, each individual, concern or organization having rights to the invention is listed on the next page and no rights to the invention are held by any person, other than the inventor, who could not qualify as an independent inventor under 37 CFR 1.9(c) or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

Each person, obligation und	concern or der contract o	organization (or law to assign	to which I had	ave assigned, grante rey, or license any righ	d, conveyed, or lic nts in the invention i	ensed or am under an is listed below:
		concern or org		ists. is listed below.		
△ eac	n sucn perso	m, concern or	organization	is listed below.		
FULL NAME	Yasuhiko Sh	imizu				
ADDRESS			, Uji-shi, Kyo	to 611-0002, Japan		
	⊠	Individual		Small Business Concern		Nonprofit Organization
FULL NAME						
ADDRESS						No
		Individual		Small Business Concern	u	Nonprofit Organization
FULL NAME						
ADDRESS		Individual		Small Business Concern		Nonprofit Organization
FULL NAME	ч	individual	_	Small business concern		
ADDRESS						
MDDKESS		Individual		Small Business Concern		Nonprofit Organization
1.1	_					
Separate ve	erified staten	nents are requ	uired from e	ach named person, o	concern or organiza	ation having rights to the
invention av	erring to thei	ir status as sm	all entities. (37 CFR 1.27)		
acknowled	tge the duty	to file, in this	application	or patent, notification	of any change in	status resulting in loss of
- Wantitlamont	to small on	tity etatus prig	or to paving	, or at the time of c	aving, the earliest	of the issue ree or any (
maintenanc	e fee due aft	er the date on	which status	as a small entity is no	o longer appropriate	e. (37 CFR 1.20(0))
hereby de	clare that al	l statements r	made herein	of my own knowledg	ge are true and tha	at all statements made on
winformation	and ballof a	ra ballayed to	he true, and	further that these sta	atements were mad	le with the knowledge that
willful false	statements a	and the like so) made are p	ounishable by fine or i viliful false statements	mprisonment, or bt may jeopardize the	oth, under Section 1001 of validity of the application,
anv patent i	issuina there	on, or any pate	ent to which	this verified statement	is directed.	,
7 1						
NAME OF PI	ERSON SIGI	NING:				
TITLE OF PE		· ·				
OTHER THA						
ADDRESS C		SIGNING	Tanic Intern	national Co., Ltd.		
ADDRESS C	JF FERSON	SIGINING.	-	nomon 1-chome,		
				Tokyo 105-0001		
			JAPAN	102,0105-0001		
		, ,			_	
	_	/Jajs we	si	ili	DATE: Mayer	ler 7, 2000
SIGNATURE	E:	430/3110			_ DATE. FOR	
		U				
L					atent and Trademark Offic	e-U.S. DEPARTMENT OF COMMERCE

Page 1 of 2

VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS (37 CFR 1.9(f) AND 1.27 (b)) - INDEPENDENT INVENTOR								
Serial	No.	Filing	g Date	Patent No.		Issue Date		
Applicant/ Yasuhiko Shimizu Patentee:								
Invention:								
COLLAGE	N MATERI	AL AND IT	S PRODUCT	ION PROCESS				
burposes of p	aying reduce	ed fees under	section 41(a)	fy as an independent inverse and (b) of Title 35, Unite over and described in:				
☐ the	specification	to be filed here	with.					
₹ ⊠ the	application id	entified above.						
7 □ the	patent identifi	ed above.						
under 37 CFR 1.9(c) if that person had made the invention, or to any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e). Each person, concern or organization to which I have assigned, granted, conveyed, or licensed or am under an obligation under contract or law to assign, grant, convey, or license any rights in the invention is listed below: No such person, concern or organization exists. Each such person, concern or organization is listed below.								
NOTE	rights to the i	nvention averri	ng to their stat	d from each named perso us as small entities (37 Cf	R 1.27)	organization having		
		tional Co., Ltd		kyo 105-0001, Japan				
ADDRESS		Individual		nall Business Concern	a 1	Nonprofit Organization		
FULL NAME								
ADDRESS		Individual	□ sr	nall Business Concern		Nonprofit Organization		
51111 114145								
FULL NAME ADDRESS								
		Individual	□ s	mail Business Concern		Nonprofit Organization		
FULL NAME								
ADDRESS		Individual	☐ s	mall Business Concern	۵	Nonprofit Organization		
			902/86/01			DEPARTMENT OF COMMERCE		

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: SHIMIZU, Y.

SERIAL NO.: Not Yet Assigned - Express Mail Label No. EL196 831 742 US

[This is a national stage application of PCT/JP99/03019, FILED 7/7/99]

FILED:

Herewith

FOR:

COLLAGEN MATERIAL AND ITS PRODUCTION PROCESS

HONORABLE COMMISSIONER OF PATENTS AND TRADEMARK WASHINGTON, DC 20231

Sir

PRELIMINARY AMENDMENT

Please amend the above-identified application as follows.

IN THE CLAIMS

1. (amended) A collagen material which is filled or had inside a substance having biocompatibility that can be degraded and absorbed in the body into a matrix of non-woven fabric-like multi-element structure of collagen fibers having ultra-fine fibers of collagen as its basic unit, wherein said non-woven fabric-like multi-element structure of collagen fibers is composed of that in which collagen plate-like fivers having a diameter of 20-50 µm are randomly intertwined, said plate-like fibers are composed of that in which collagen fibers having a diameter of 5-8 µm overlap in the coaxial direction, said fibers are composed of that in which bundled rows of narrow collagen fibers having a diameter of 1-3 µm are alternately overlapping as warp and weft, said narrow fibers are composed of that in which fine collagen fibers having a diameter of 30-70 nm are bundled, and said fine fibers are composed of that in which ultra-fine collagen fibers having a diameter of 3-7 nm that are comprised of several collagen molecules are bundled.

Y. SHIMIZU

Not Yet Assigned - Express Mail Label No. EL 196 831 742 US U.S.S.N.:

[based on PCT/JP99/03019, filed 7/7/99]

Page 2

Kindly cancel claim 4.

(amended) A collagen material in which a biocompatible substance that can be degraded and absorbed in the body is filled into a non-woven fabric-like matrix [of a substance having biocompatibility that can be degraded and absorbed in the body] composed of that selected from the group consisting of polyglycolic acid, polylactic acid, copolymer of glycolic acid and lactic acid, polydioxanone, copolymer of glycolic acid and trimethylene carbonate, and a mixture of polyglycolic acid and polylactic acid.

Kindly cancel claim 6.

In claim 9, line 1, please delete "claims 2 or 4" and insert therefore --claim 2--.

In claim 10, line 2, after "claims 3," please delete "4 and 6 to" and insert therefore --5, 7, or--.

REMARKS

Claims 4 and 6 have been canceled and claims 1, 5, 9, and 10 have been amended. No new matter has been added by virtue of the amendments. Basis for the amendments lies in the original specification of the application.

Early examination and allowance of the application are respectfully submitted.

Respectfully submitted,

11 DECEMBER, 2000

Kerri Pollard Schray (Reg No 47,066)

EDWARDS & ANGELL, LLP 130 Water Street

Boston, MA 02109 Telephone: (617) 523-3400

15

20

25

30

35

1/PRTS

1 SPECIFICATION

COLLAGEN MATERIAL AND ITS PRODUCTION PROCESS

5 Technical Field

The present invention relates to a collagen material comprising a substance having biocompatibility that can be degraded and absorbed in the body in a matrix of a collagen ultra-fine fibrous non-woven fabric-like multi-layer structure, or in a non-woven fabric-like matrix of a substance having biocompatibility that can be degraded and absorbed in the body, a material of a specific form containing said collagen material, a medical material comprised of said material, and their production processes.

Background Art

Among the various materials used as medical materials, animal collagen has excellent bioaffinity and histocompatibility, low antigenicity, has the action of promoting host cell differentiation and growth, has a hemostatic action, and is completely degraded and absorbed in the body. Consequently, it has properties that are particularly suitable for use as a medical material. At present, animal collagen types I through XIX have been discovered, collagen types I through V are used in a variety of ways as medical materials. In particular, type I collagen, which is useful as an extracellular matrix, is used most commonly. These collagens are extracted and purified from the connective tissue of various organs such as skin, bone, cartilage, tendon, and viscus of animals such as cows, pigs, birds, kangaroos and so forth by acidic solubilization, alkaline solubilization, neutral solubilization and enzymatic solubilization. Extracted collagen used conventionally is one that has been broken down to monomers and oligomers at the molecular level, and

15

20

25

30

35

is stored in the form of a powder or liquid. Since these extracted collagens are in a state in which collagen molecules are broken down to monomers and oligomers, when they come in contact with water, body fluids or blood, they form a sol extremely rapidly. Consequently, when using these collagens by forming as medical materials, they are either used by covering the surface of a synthetic polymer material such as Nylon or silicone with collagen to give the material a certain degree of strength during processing, or are used by subjecting the formed product of the extracted collagen to chemical crosslinking treatment using a crosslinking agent or to physical crosslinking treatment using radiation, electron beam, ultraviolet rays or heat in order to hold the shape of the material for a certain period of time in the case of applying to the body. In addition, although these extracted collagens may be used as thread for medical treatment by forming into the shape of a thread, wet spinning is used for its spinning. However, in the case of a material in which collagen

is combined with a synthetic polymer material, the synthetic polymer material remains in the body as a foreign object resulting susceptibility to the occurrence of disorders such as granulation and inflammation, and this type of material cannot be applied to all cells and viscera. In addition, even if crosslinking treatment is performed on collagen materials, since there is hardly any increase at all in the physical properties of the collagen material, and particularly tear strength, it was not possible to process this material for use as a medical material requiring suturing. In addition, when a crosslinking agent such as glutaraldehyde or epoxy is used, not only does the toxicity of the crosslinking agent on the body become a problem, but there is also the disadvantage of the biochemical properties inherently possessed by collagen, and particularly promotional effects on cell growth, being

15

20

25

30

lost. In addition, in the case of physical crosslinking treatment, the crosslinking rate is unstable and it is unable to give adequate physical properties to the collagen material. In addition, it has also been difficult to perform crosslinking treatment so that the absorption rate in the body can be controlled. On the other hand, since spun collagen does not have sufficient strength, it is not adequate for use as suture.

On the other hand, although it is necessary to close by resuturing an opened endocranium, pericardium, pleura, peritoneum or serous membrane when closing a surgical wound after performing surgery on the brain or various viscera for the treatment of various diseases or trauma, there are many cases in which a missing portion forms in the membrane that prevents a surgical wound from being completely closed due to the formation of a shortened portion depending on the length of the suture or the membrane being partially severed. If such a missing portion is left uncorrected, the viscera such as the brain, heart, lung and intestine may herniate from the area where the membrane is missing resulting in a serious disorder, or water or air may escape from the viscera or area around the viscera preventing the surgical wound from healing. In addition, since the viscera may adhere to surrounding tissues, the tissue may be damaged thereby preventing the obtaining of a favorable prognosis. Consequently, freeze-dried human endocranium removed cadavers or porous elastic polytetrafluoroethylene (EPTFE) (Tissue Goretex, trade name), polypropylene mesh, Teflon sheet or Dacron sheet and so forth are used as alternative medical membranes that can be used as prostheses for these missing portions. In addition, a copolymer of lactic acid and &-caprolactone (50:50) is currently being developed. In addition, methods involving the use of the patient's own fascia lata, pericardium, skin

15

20

25

30

or muscle and so forth are also performed as a last resort.

However, with respect to the use of human endocranium, adhesion occurs between the filled human endocranium and brain parenchymal tissue. Not only does this have the risk of causing epileptic attacks following surgery, there is also the ethical problem of obtaining specimens from human cadavers as well as the problem of the supply being extremely limited. More recently, the occurrence of Creutzfeldt-Jakob Disease (CJD) caused by transplanted endocranium has been reported in patients receiving endocranial transplants (J. Neurosurgery, 21(2): 167-170, 1993). In Japan, human endocranium is currently not used. In addition, since EPTFE materials and so forth are not degraded in the body but rather remain as foreign objects, they easily cause infection or, when in contact with body tissue, end up causing fatty degeneration of tissue cells and so forth, and are known to frequently cause postoperative complications. Copolymers of lactic acid and ϵ caprolactone are degradable in the body. Although they gradually are degraded after being applied to the body, a long period of time on the order of nearly two years is required for them to be completely degraded and absorbed. Consequently, they also remain in the body for the time being as foreign objects, cause inflammation in tissue during the degradation process and form granuloma. Since this copolymer uses the (L) form of lactic acid as its monomer, lactic acid may crystallize in the copolymer causing inflammation. Moreover, both EPTFE and copolymer of lactic acid and ϵ -caprolactone do not have the action of promoting regeneration of biomembranes. In addition, methods using the patient's own fascia lata and so forth place a significant burden on both the patient and physician.

Although materials such as the above-mentioned EPTFE,

polypropylene mesh (Marlex), human dried endocranium and glutaraldehyde (GA)-treated bovine pericardium have been used in the past as pericardium prostheses, EPTFE and human dried endocranium have the disadvantages described above. In addition, polypropylene mesh causes strong adhesion between itself and the heart. Since GA-treated bovine pericardium remains in the body without being absorbed or degraded, it causes deterioration due to mineral deposition, and complications due to interstitial pneumonia caused by an immune reaction to the bovine pericardium have also been observed.

In addition, although polyglycolic acid non-woven fabric and bovine pericardium have been used as a pleural prosthesis or for an auto-suture to reduce the escape of air from the surgical site following lung surgery, because polyglycolic acid is not transparent, it is difficult to be used for an auto-suture. In addition, bovine pericardium has the disadvantages previously described.

Disclosure of the Invention

For these reasons, a need has arisen for the development of a collagen material that possesses physical properties to an extent that allows suturing while still maintaining the biochemical properties inherently possessed by collagen, and retains its shape for a certain amount of time even after application to the body; its production process; and, a medical material on which it is based, examples of which include a artificial tube for nerve, artificial tube for spinal cord, artificial esophagus, artificial trachea, artificial blood vessel, artificial valve or alternative medical membranes such as artificial endocranium, artificial ligamenta, artificial tendons, surgical sutures, surgical prostheses, surgical reinforcement, wound protecting materials, artificial skin and artificial cornea. In particular, there has arisen a

strong need in the clinical setting for the development of various types of medical materials that can be used as alternative medical membranes which present no ethical problems, are in stable supply, prevent adhesion of the surgical wound following surgery after being applied to the body, have no risk of infection, do not cause tissue degeneration, allow control of the rate of degradation following application, and have an action that promotes regeneration of biomembranes, especially endocranium, pericardium, pleura, peritoneum or serous membrane.

As a result of earnest research to solve the abovementioned problems, the inventors of the present invention
found that a collagen material comprising filling or having
inside a substance having biocompatibility that can be
degraded and absorbed in the body into a matrix of a nonwoven fabric-like multi-element structure having ultra-fine
fibers of collagen for its basic unit, or a collagen
material comprising filling a substance having
biocompatibility that can be degraded and absorbed in the
body into a non-woven fabric-like matrix of a substance
having biocompatibility that can be degraded and absorbed
in the body, has excellent properties as a medical material
as well as physical properties that allow suturing, thereby
leading to completion of the present invention.

Namely, the present invention is a collagen material characterized by filling or having inside a substance having biocompatibility that can be degraded and absorbed in the body into a matrix of a non-woven fabric-like multi-element structure of collagen fibers having ultra-fine fibers of collagen as its basic unit.

The collagen material of the present invention has the basic structure as shown in Fig. 1, and the matrix of non-woven fabric-like multi-element structure of collagen fibers that takes its leading part is composed pluralistically from various types of fibrous collagen of

15

20

25

30

different sizes and shapes as explained below.

Namely, the non-woven fabric-like multi-element structure of collagen fibers has for its basic unit ultrafine fibers 15 comprised of several collagen molecules and having a diameter of 3-7 nm, said ultra-fine fibers are bundled to form fine fibers 14 having a diameter of 30-70 nm, and said fine fibers are further bundled to form narrow fibers 13a and 13b having a diameter of 1-3 µm. Next, bundled rows of said narrow fibers form fibers 12 having a diameter of 5-8 µm by alternatively overlapping as warp and weft, and said fibers overlap in the coaxial direction to form plate-like fibers 11 having a diameter of 20-50 µm. Finally, these plate-like fibers 11 randomly intertwine to form fibrous collagen as the largest unit in collagen ultra-fine fibrous non-woven fabric-like multi-layer structure 10.

The collagen material of the present invention contains a substance having biocompatibility that can be degraded and absorbed in the body in a matrix of a multielement structure of fibrous collagen in which plate-like fibers 11 are gathered in the form of a non-woven fabric. Here, a typical example of a substance having biocompatibility as well as biodegradability and bioabsorption are collagen fibers containing ultra-fine fibers of collagen newly formed in said matrix by subjecting a hydrochloric acid solution of extracted collagen introduced into said matrix to freezing and freeze-drying treatment. However, other examples of candidates for this substance include that in which a solution of said extracted collagen or hyaluronic acid solution is introduced into said matrix followed by air drying said solution (and that originating in collagen is to be referred to as amorphous collagen).

Moreover, the collagen material of the present

10

15

20

25

30

35

invention may also have an additional layer comprising an air-dried solution of extracted collagen at a predetermined site on the surface of the above collagen material.

In addition, although the collagen material of the present invention has the basic structure as shown in Fig. 1, depending on the application of said material, it may also have a mesh-like intermediate material in the form of a sheet or tube comprising a biodegradable, absorbable material inside the above non-woven fabric-like multi-element structure of collagen fibers.

Moreover, in the collagen material of the present invention, the above matrix may be in the form of a non-woven fabric-like sheet or tube comprising a substance having biocompatibility that can be degraded and absorbed in the body, examples of which include a material selected from the group consisting of polyglycolic acid, polylactic acid, copolymers of glycolic acid and lactic acid, polydioxanone, copolymers of glycolic acid and trimethylene carbonate, or mixtures of polyglycolic acid and polylactic acid, and the substance having biocompatibility that can be degraded and absorbed in the body which is filled into said matrix may be collagen (collagen fiber or amorphous collagen) or hyaluronic acid similar to that filled into the above matrix.

This collagen material of the present invention can be produced by a process comprised of the steps indicated below.

(Basic Process)

Step a

A hydrochloric acid solution of extracted collagen is cast to the desired thickness to form a collagen solution layer. Here, casting should be performed by suitably selecting a known method according to the target shape of the collagen material. For example, in the case of seeking a film-like collagen material, casting should be performed

using a vat and so forth for the mold, while in the case of seeking a tubular collagen material, casting should be performed using a mold having a cavity at the portion corresponding to the wall of the object (and this applies similarly hereinafter).

Step b

5

10

15

20

25

30

35

Said collagen solution layer is temporarily frozen, and after holding for the desired amount of time in that state, said collagen solution layer is freeze-dried. At this point, a multi-element structure of collagen fibers having collagen ultra-fine fibers as its basic unit is formed. However, since said multi-element structure of collagen fibers is in the form of a matrix, a large number of cavities therein, referring to spaces, but not so-called pores, in which solution is able to penetrate, are present in that matrix.

Step c

Thermal dehydration crosslinking is then performed on said freeze-dried product (to impart a predetermined stability to water to the fibrous collagen composing a matrix in the next step).

A hydrochloric acid solution of extracted collagen is introduced into the matrix of the product suffered said thermal dehydration crosslinking treatment. As a result, the cavities in said matrix are filled with non-fibrous collagen. Here, it is not necessary that the cavities filled in this procedure account for the entire volume of the cavities in said matrix. Furthermore, a specific example of an introduction method is a method involving aspirating with a weak vacuum. Naturally, the method used is not limited to this method provided solution can be effectively introduced into minute cavities.

The product introduced said extracted collagen is

20

2.5

again frozen, held in that state for a desired amount of time, and then freeze-dried. In this procedure, at least a portion of the cavities in the above matrix are filled with collagen fibers containing ultra-fine fibers of newly formed collagen. Here, "filled" refers, more accurately, to collagen fibers containing ultra-fine fibers of collagen newly formed in the cavities of said matrix being present so as to intertwine with each fibrous collagen fiber that composes said matrix.

10 Step q

Said freeze-dried product is compressed.

Step i

Thermal dehydration crosslinking is then performed on said compressed product. In this procedure, the predetermined stability to water is imparted to collagen fibers containing ultra-fine fibers of newly formed collagen, in the final product or in an additional step performed as a variation of the production process.

Moreover, the following steps may be performed in order between the above steps e and g. (It is preferable to fill said cavities as much as possible to obtain the desired strength, as much as is allowed by properties such as "feeling" and "flexibility" other than the strength required by the finished collagen material, with collagen fibers containing ultra-fine fibers of newly formed collagen in the cavities of the above matrix. In this connection, the volume ratio of said cavities expressed as the percentage of void is typically 50-60% in the state of the matrix, about 10% after step e, and about 5% after step e after repeating one cycle of step d and step e, and it is sufficient to perform one cycle of step f1 and step f2 as described below in the case of normal applications of said collagen material, such as an alternative medical membrane. Step fl

A hydrochloric acid solution of said extracted

35

30

collagen is introduced into the matrix of the above product of freeze-drying.

Step f2

5

10

15

20

25

30

After introducing said hydrochloric acid solution of extracted collagen, the product is again frozen temporarily, held in that state for a desired amount of time, and then freeze-dried.

In addition, the following steps may be performed between the above steps ${\bf g}$ and ${\bf i}$.

Step h1

A collagen solution layer is formed at a predetermined site on the surface of the above compressed product. More specifically, said compressed product should be immersed and air-dried at least once for a predetermined amount of time in a hydrochloric acid solution of extracted collagen, preferably having a collagen concentration of 2.0% or less. This is done in the case of intending to use the collagen material in an application in which the decrease in strength resulting from infiltration of body fluids from said site is desired to be suppressed as much as possible. Furthermore, during the formation of a collagen solution layer at a "predetermined site", those portions not requiring the formation of said collagen solution layer should be blocked with a suitable known means during immersion of the extracted collagen in the hydrochloric acid solution.

In the case of performing step h1, step h2 as described below, namely the procedure of "compressing the above collagen layer again", may be performed prior to performing the next step in the form of step i (in the case of desiring to eliminate or reduce surface irregularities in the collagen material and improve "feeling" and "texture").

Furthermore, in the case of using that into which 35 hydrochloric acid solution of extracted collagen or

15

20

25

30

35

hyaluronic acid solution (in which case "hydrochloric acid solution of extracted collagen" in the above step d should be read as "hyaluronic acid solution") is introduced into said matrix and air-dried for the substance filled into the above matrix, the procedures of steps e to i may be omitted, and said matrix should be subjected to thermal dehydration crosslinking for a predetermined amount of time while pressing the matrix into which the above hydrochloric acid solution of extracted collagen or hyaluronic acid solution has been introduced. Here, pressing of said matrix is performed to minimize the effects of air remaining in said matrix, or in other words, to minimize the occurrence of local "bulging" of the collagen material.

On the other hand, in the case of using a material selected from the group consisting of polyglycolic acid, polylactic acid, copolymers of glycolic acid and lactic acid, polydioxanone, copolymers of glycolic acid and trimethylene carbonate and mixtures of polyglycolic acid and polylactic acid (to be referred to as polyglycolic acid, etc.) for the substance having biocompatibility and able to be degraded in the body that is placed inside the above matrix, in the above step a, casting of hydrochloric acid solution of extracted collagen is performed by dividing into two cycles, and a mesh-like material in the form of a sheet or tube comprised of the above material should simply be contained inside between both casting procedures (and more specifically, said material should be placed over the collagen solution layer cast during the first cycle in the case of obtaining a membrane-like material). Furthermore, since these materials are poorly hydrophilic, it is preferable to modify the surface with plasma and so forth immediately before containing inside. Furthermore, in the case of having these materials inside said matrix, additional reinforcement of the collagen fibers containing ultra-fine fibers of newly formed collagen in said matrix

10

15

20

25

30

is not required as a general rule in order to obtain a predetermined strength with the compounding effects of these materials and the matrix (system constitution: partially altered step a \rightarrow step b \rightarrow step c \rightarrow step g). However, it is preferable to form a collagen solution layer or gelatin solution layer in the sense of regulating the biochemical condition of the pressed surface.

In addition, in the case of the collagen material of the present invention being that in which the above matrix is in the form of a non-woven fabric-like sheet or tube comprising a substance having biocompatibility that can be degraded and absorbed in the body, for example a material selected from the group consisting of polyglycolic acid, polylactic acid, copolymer of glycolic acid and lactic acid, polydioxanone, copolymer of glycolic acid and trimethylene carbonate or a mixture of polyglycolic acid and polylactic acid, and the substance having biocompatibility that is degraded and absorbed in the body which is filled into said matrix is collagen (collagen fiber or amorphous collagen) or hyaluronic acid similar to that filled into the above matrix, it should be produced as indicated below. Furthermore, for the sake of convenience in the explanation, an explanation is provided using the example of the case of the substance introduced into said matrix being collagen. and the produced collagen material being in the form of a membrane.

Step i

A hydrochloric acid solution of extracted collagen is introduced into a matrix comprising a non-woven fabric-like sheet composed of a material selected from the group consisting of polyglycolic acid, polylactic acid, copolymer of glycolic acid and lactic acid, polydioxanone, copolymer of glycolic acid and trimethylene carbonate or a mixture of polyglycolic acid and polylactic acid, followed by air-

10

15

20

25

30

35

drying. This procedure for introducing a hydrochloric acid solution of extracted collagen is performed by placing a hydrochloric acid solution of extracted collagen of a predetermined concentration, in which said matrix is immersed (by placing in a separate container), in a container placed in a slightly depressurized environment, for example, an atmosphere at a pressure somewhat lower than atmospheric pressure from which the air has been removed with a flow aspirator and so forth, and holding in that state for a predetermined amount of time. More specifically, this is performed by allowing said hydrochloric acid solution of extracted collagen to seep into said matrix. Furthermore, as is clear from this procedure, a thin layer composed of said hydrochloric acid solution of extracted collagen is inevitably formed not only inside said matrix but on its surface as well. Step 1

A collagen solution layer is formed on at least one side of the product of introducing said hydrochloric acid solution of extracted collagen and air-drying. More specifically, the product of introducing and air-drying said hydrochloric acid solution of extracted collagen should be immersed in a hydrochloric acid solution of extracted collagen and air-dried in the same manner as above. This should preferably be repeated one to five times. The resulting material is a collagen solution layer. Furthermore, this step should also be performed in which the other side is blocked with a suitable means in order to form this collagen solution layer on only one side. Step o

A gelatin solution layer is formed on said collagen solution layer. More specifically, coating of an aqueous gelatin solution of a predetermined concentration or immersion in said aqueous gelatin solution should be performed. Furthermore, this step is an arbitrary step

1.5

20

25

30

35

that is required in the case of requiring the final product to have the ability to prevent adhesion. Step $\ensuremath{\text{p}}$

Thermal dehydration crosslinking is performed for a predetermined amount of time on that product on which said gelatin solution layer is formed. The objective of this step is to impart stability to water in the same manner as that imparted to the collagen fibers and so forth in the previously described method.

Although the process thus far constitutes the basic steps in this method, there are variations to this method. In one of these variations, fiber formation from collagen solution is respectively performed between steps j and l and between steps 1 and o. More specifically, a series of procedures consisting of freezing followed by holding followed by freeze-drying is added to the extracted collagen and collagen solution layer introduced into the matrix in the same manner as the previously described method (that with respect to the former being referred to as additional step k, and that with respect to the latter being referred to as additional step m1). Furthermore. these additional steps are employed in the case that the strength of the matrix used as a strength exhibiting member is weak (and more specifically, when the thickness of the non-woven fabric-like matrix is thin). Here, it is preferable that the product of said freeze-drying be pressed following additional step m1 (additional step m2). This is done to increase overall strength.

Thermal dehydration crosslinking is another example of an additional step. This additional step is performed between step 1 and step o or between step m2 and step o (additional step n that is performed for the purpose of imparting stability to water to the product in the same manner as that described in the previous method).

Although the collagen material of the present

15

20

25

35

invention can be used as the main constituent material of medical materials such as artificial tube for nerve, artificial tube for spinal cord, artificial esophagus, artificial trachea, artificial blood vessel, artificial valve, artificial ligamenta, artificial tendons, surgical prostheses, surgical reinforcement, wound protecting materials and artificial skin, it can be used as is in nearly all cases. Moreover, it is also preferable for artificial medical alternative membrane applications for which there is a considerable need, examples of which include biomembranes, and especially endocranium, pericardium, pleura, peritoneum, serous membrane or cornea.

Furthermore, in the case of membrane-like materials such as surgical prostheses, surgical reinforcement and wound protecting materials, the collagen material of the present invention may have a crosslinked gelatin gel layer or hyaluronic acid layer on one or both sides thereof.

Moreover, the collagen material of the present invention can also be used as a thread-like material and medical material comprising said thread-like material, an example of which is surgical suture. Said thread-like material can be produced using a procedure similar to that for other materials with the exception of performing a procedure that first allows the obtaining of collagen thread by performing wet spinning instead of forming a collagen solution layer in the above step a.

BRIEF DESCRIPTION OF THE DRAWINGS

Fig. 1 shows the structure of the collagen material of $\ensuremath{\mathtt{30}}$ the present invention.

The following reference numerals are used in the drawing.

- 10: Collagen ultra-fine fibrous non-woven fabric-like multi-layer structure
- 11: Plate-like fibers

10

15

20

25

30

35

12: Fibers

13a, 13b: Narrow fibers

14: Fine fibers

15: Ultra-fine fibers

20: Newly formed fibrous collagen

BEST MODE FOR CARRYING OUT THE INVENTION

Examples of collagen that can be used as the raw material of the collagen material of the present invention include various types of collagen conventionally used, and preferably neutral solubilized collagen, acidic solubilized collagen, alkaline solubilized collagen or enzymatic solubilized collagen. Among these, enzymatic solubilized collagen is particularly preferable since it involves treatment of insoluble collagen with enzyme (e.g., pepsin, trypsin, chymotrypsin, papain and pronase), causing the strongly antigenic telopeptide portion in the collagen molecules to be removed by this treatment, resulting in decreased antigenicity. There are no particular restrictions on the origin of this collagen, and in general, type I collagen or mixed type I and type III collagen can be used which is obtained by extraction and purification from the skin, bone, cartilage, tendon, viscera and so forth of animals such as cows, pigs, rabbits, sheep, kangaroos, birds and fish, etc.

When the collagen material of the present invention having a non-woven fabric-like multi-element structure of collagen fibers having ultra-fine fibers of collagen as its basic unit as described above for the matrix is compared with a material comprised only of non-fibrous collagen having an amorphous structure in which collagen molecules are dispersed in the state of monomers and oligomers that have been used in the past as various types of medical materials, in addition that the former retains the action on the body inherently possessed by collagen, in comparison

10

15

20

35

with the latter, not only does it have excellent physical properties, and particularly excellent tear strength, but the rate of absorption in the body is also adequately extended. In addition, with the exception of being in the form of a thread, a thread-like material containing the collagen material of the present invention has the same structure as that described above. In addition, a medical material comprising the collagen material of the present invention is a product of a similar collagen material comprising filling a substance having biocompatibility that is degraded and absorbed in the body into a matrix processed into various types of medical materials. Examples of the forms of medical materials include membranes, tubes, pouches and clumps. One particular example of an application of this medical material is an alternative medical membrane, and more specifically, a preferable example is an alternative medical membrane having a crosslinked gelatin layer or hyaluronic acid layer on one or both of its sides. In this case, the thickness is preferably about 0.1-5 mm.

The gelatin gel layer that is able to be present on the surface of the alternative medical membrane of the present invention acts as an adhesion preventive layer for preventing invasion of cells from the surrounding body 25 tissue at locations requiring prevention of adhesion due to the action of gelatin that impairs cell adhesion and growth. In addition, hyaluronic acid has the effect of improving stability of collagen as well as the effect of preventing adhesion. In the alternative medical membrane of the 30 present invention, since it is necessary for the gelatin gel layer or hyaluronic acid layer to remain without being degraded or absorbed for about 3-4 weeks after applying to the body, crosslinking treatment is performed to this gelatin gel layer or hyaluronic acid layer.

In order to prepare the collagen material of the

10

15

20

25

30

35

present invention, an approximately 1 N hydrochloric acid solution (pH of about 3) of extracted collagen as described above (and naturally following purification) is prepared (in the form of a solution of non-fibrous collagen in which collagen molecules are dispersed in the form of monomers and oligomers, and to apply similarly hereinafter; collagen concentration being preferably about 0.5-3 wt%, and particularly preferably about 1 wt%), and a collagen hydrochloric acid solution layer is formed in a container such as a Petri dish so that the liquid layer has an arbitrary uniform thickness using any routine method such as pouring. In the case of seeking a tubular material, for example, a mold in which a portion corresponding to the wall portion of said tubular material is hollow should be used, and depending on the case, this layer should be formed by coating and air-drying and so forth onto the surface of a base material such as a mandrel. In the case of using a material other than collagen such as polyglycolic acid and so forth that can be a substance having biocompatibility and can be degraded and absorbed in the body (for use as a material contained inside a collagen matrix), a sheet-like or tubular mesh-like material of said material itself should be contained inside said collagen hydrochloric acid solution layer. In the case of using such a material for the matrix (non-woven fabric-like sheet or tube), collagen such as amorphous collagen or fibrous collagen (or hyaluronic acid and so forth depending on the case) should be filled into said matrix (such as by allowing to seep in under reduced pressure). Furthermore, in the following explanation, the explanation of filling collagen and so forth into a matrix, further processing performed on said filled collagen, and further processing performed on the matrix treated in this manner, unless specified otherwise, apply to the case of a non-collagen material in which a substance having biocompatibility that

10

15

20

25

30

is degraded and absorbed in the body is used for the matrix, and collagen and so forth is filled into said matrix. Although the thickness of the collagen hydrochloric acid solution layer is determined according to the application of the collagen material of the present invention, in the case of using, for example, as an alternative medical membrane for an endocranium, the thickness is preferably about 1-5 cm, and particularly preferably about 1-3 cm. This is then frozen preferably at about -10 to -196°C, and particularly preferably at about -20°C, for at least about 6 hours, preferably about 6-48 hours, and particularly preferably about 24 hours. As a result of freezing, fine pieces of ice are formed between the collagen molecules dispersed in the hydrochloric acid solution, and phase separation occurs in the collagen hydrochloric acid solution resulting in the formation of ultra-fine fibers due to rearrangement of the collagen molecules. If the freezing time is less than 6 hours, since the collagen hydrochloric acid solution is not adequately frozen, there is insufficient formation of ultra-fine fibers of the collagen molecules, thereby preventing from obtaining adequate physical properties. Next, the above-mentioned frozen collagen hydrochloric acid solution is freeze-dried in a vacuum preferably at about -40 to -80°C, and particularly preferably at about -80°C, preferably for about 24-48 hours, and particularly preferably for about 48 hours. As a result of freeze-drying, together with the fine pieces of ice between the collagen molecules being vaporized, the ultra-fine fibers comprised of collagen molecules serve as the basic units to obtain a non-woven fabric-like collagen structure pluralistically composed of fine fibers, narrow fibers, fibers and plate-like fibers as previously described. Furthermore, this procedure consisting of freezing, (storing) and freeze-drying

10

15

20

25

30

35

performed on this collagen hydrochloric acid solution is an essential procedure in the case of seeking fibrous collagen even in the case of a collagen material that also uses a non-collagen material such as polyglycolic acid etc.

Next, non-fibrous collagen, which can be a substance that has biocompatibility and can be degraded and absorbed in the body, and more specifically, a hydrochloric acid solution of extracted collagen, is introduced into the matrix of the non-woven fabric-like collagen structure obtained above (this procedure is not required as a general rule in the case of using polyglycolic acid and so forth as the material that can be a substance having biocompatibility that can be degraded and absorbed in the body (in the form of a material that is contained inside the collagen matrix)). Here, the collagen concentration of said solution is preferably 0.5 wt% or less. This is because said introduction proceeds smoothly and dispersion of said introduced solution in said matrix is more uniform, resulting in the removal of residual air in said matrix being carried out smoothly. As a specific example of simple methods for introducing said solution, said collagen hydrochloric acid solution is aspirated with a weak vacuum of about 50 cm H_2O using, for example, an aspirator, since said matrix does not have a large resistance. Naturally, other methods may also be used, such as a method in which said collagen hydrochloric acid solution is allowed to seep into said matrix naturally by using the vacuum atmosphere in the previous freeze-drying procedure. Furthermore, in order to prevent the collagen fibers in said matrix formed in the previous procedure from being dissolved by said collagen hydrochloric acid solution (which naturally also contains water), it is preferable to perform thermal dehydration crosslinking on said non-woven fabric-like collagen layer prior to introducing said collagen hydrochloric acid solution. Said matrix is preferably

10

15

20

2.5

30

heated in a vacuum preferably at about 105-150°C, and particularly preferably at about 140°C, preferably for about 6-48 hours, and particularly preferably about 24 hours. Here, if the heating temperature is below about 105°C, adequate crosslinking does not occur, while if the heating temperature exceeds about 150°C, the collagen ends up degenerating. Furthermore, the inherent objective of this procedure is to regulate the collagen material of the present invention to remain for a desired period of time after applying a medical material containing the collagen material of the present invention to the body.

Next, the non-woven fabric-like collagen structure in which collagen hydrochloric acid solution has been introduced into the matrix is temporarily frozen, and after holding that state for a predetermined amount of time, is freeze-dried to convert said introduced collagen hydrochloric acid solution into fibrous collagen. As a result, the predetermined space of the cavities of said matrix is filled with newly formed collagen. Namely, the cavities in said matrix are filled with said newly formed collagen such that said newly formed collagen intertwines with the fibrous collagen that composes said matrix. Furthermore, the conditions for this are the same as that of the freezing and freeze-drying processes described above. This procedure is not required as a general rule in the case of using polyglycolic acid and so forth as the material that can be a substance having biocompatibility that is able to be degraded and absorbed in the body (in the form of a material contained inside the collagen matrix)). Next, thermal dehydration crosslinking (the conditions of which are the same as the thermal dehydration crosslinking previously described) is performed after pressing said matrix, in which the predetermined space of the cavities is filled with said newly formed collagen (at,

15

20

25

30

35

for example, 500 kgf/cm2 for 15 seconds).

The collagen material of the present invention produced in the manner described above has, in the dry state, one-point support tensile force of at least 30 N and rupture resistance tensile force of at least 65 N, and in the wet state, one-point support tensile force of at least 1.4 N and rupture resistance tensile force of at least 6.5 N (in the case of a collagen material having a thickness of 1 mm and collagen fibers in which a substance having biocompatibility that is able to be degraded and absorbed in the body (to be referred to as a biodegradable, absorbable substance) that is filled into the matrix contains newly formed collagen ultra-fine fibers (to be referred to as filled collagen fibers) in the matrix). Alternatively, the collagen material of the present invention produced in the manner described above has, in the dry state, one-point support tensile force of at least 10 N and rupture resistance tensile force of at least 25 N, and in the wet state, one-point support tensile force of at least 5 N and rupture resistance tensile force of at least 15 N (in the case of a collagen material having a thickness of 1 mm and using polyglycolic acid and so forth for the biodegradable, absorbable substance contained inside the matrix, with capabilities naturally exceeding the above values in the case of using a non-collagen material for said matrix). Since this collagen material has superior strength in comparing with collagen materials of the prior art, it can be processed into various types of medical materials and can also be sutured. (In the latter collagen material in particular, since strength is superior in the wet state, it is favorable because it can be used regardless of the level of skill of suturing technique of the surgeon.) In addition, it is able to retain its shape for about 3-8 weeks in the case of being applied in the body. Moreover, it also retains the inherent properties of

10

15

20

25

30

35

collagen as a medical material.

Furthermore, in the case of desiring even greater strength, this procedure of introducing said collagen hydrochloric acid solution into said matrix (under the same conditions as described above), freezing and freeze-drying (under the same conditions as described above) may be repeated at least once (normally repeating once is sufficient).

Although the collagen material of the present invention can be obtained for the most part with the steps described above, a non-fibrous collagen layer may be formed at a predetermined site on the surface of the above collagen material as necessary. The following provides a description of a specific method for accomplishing this.

- (1) Said pressed collagen material is immersed in a hydrochloric acid solution of extracted collagen (collagen concentration: about 0.5-3 wt%, and particularly 2 wt%) and air-dried (and this is performed at least once, although one time is sufficient in ordinary applications).
- (2) Next, thermal dehydration crosslinking is performed on the collagen material having a non-fibrous collagen layer at the predetermined site on said surface (and this can be performed under the same conditions as previously described).
- Here, the collagen material having a non-fibrous collagen layer at a predetermined site on said surface may be additionally pressed between the above steps (1) and (2). This is because the surface of the collagen material ultimately obtained has fewer irregularities as well as improved "feeling" and "texture".

Furthermore, although the explanation thus far has focused on the use of the one which is formed by using a collagen hydrochloric acid solution as a raw material and newly forming collagen fibers therein after introducing said solution into said matrix, as the substance which is

15

20

25

30

35

filled into the above matrix, has biocompatibility and is able to be degraded and absorbed in the body, depending on the particular application, said substance may be the one which is formed by performing simply air-drying after introducing, for example, said solution or a solution of hyaluronic acid into said matrix. The objective of the use of the one which is formed by performing simply air-drying following their introduction as said substance to be filled is to suppress decrease in strength in the wet state due to further infiltration into the cavities by body fluids during application of medical materials comprising the collagen material of the present invention to the body, by eliminating said cavities. The procedure following introduction of said solution into said matrix and airdrying should only consist of the application of thermal dehydration crosslinking as a general rule (provided that this procedure is performed while applying pressure of 200 kgf/cm2), or, depending on the case, pressing and additional step (1) described above should be performed prior to application of thermal dehydration crosslinking.

Moreover, the collagen material obtained with the above basic steps or the above basic steps plus the above additional steps may be sterilized as necessary by ethylene oxide gas treatment, ultraviolet irradiation or gamma ray irradiation and so forth.

In the case of processing the collagen material of the present invention prepared in the manner described above into an alternative medical membrane having a crosslinked gelatin layer or hyaluronic acid layer on one or both of its sides, in the case of a gelatin gel layer, the gelatin gel layer is formed by using an aqueous gelatin solution of preferably about 2-70 wt% and particularly preferably about 60 wt%. In the case of using an aqueous gelatin solution of about 60 wt%, the gelatin gel layer is formed to a thickness of preferably about 0.1-5 mm and particularly

10

15

20

25

30

preferably about 1 mm in the wet state, or preferably about 0.06-3 mm and particularly preferably about 0.6 mm in the dry state. Although the gelatin gel layer, may be formed by a method such as coating or immersion, for example, the aqueous gelatin solution may be poured into a container such as a Petri dish to the required thickness, and the collagen material of the present invention obtained in the manner described above may be placed on top of it and allowed to stand to allow the gelatin to gelatinize. In the case of forming a gelatin gel layer on both sides, a similar process is performed on the other side as well to form gelatin gel layers on both sides.

Next, the collagen material on which a gelatin gel layer has been formed on one or both sides obtained in this manner is subjected to crosslinking treatment. As a result of performing this crosslinking treatment, the rate of degradation and absorption of the gelatin gel layer is controlled. Thermal dehydration crosslinking is preferable for the crosslinking method. In order to allow the gelatin gel layer to remain for about 3-4 weeks after application to the body, the collagen material on which said gelatin gel layer has been formed is subjected to thermal dehydration crosslinking treatment in a vacuum preferably at about 105-150°C and particularly preferably about 140°C for preferably about 6-48 hours and particularly preferably about 24 hours. If the temperature is below about 105°C, the crosslinking reaction does not occur adequately, and if the temperature exceeds 150°C, the collagen ends up denaturing.

The crosslinked gelatin gel layer formed in this manner has the role of preventing the collagen portion of the present alternative medical membrane from adhering to surrounding tissue until each biomembrane is regenerated, and the gelatin gel layer remains without being degraded or

10

1.5

20

2.5

30

35

absorbed for about 3-4 weeks until the biomembrane extends and regenerates from around the membrane missing portion and fills in the missing portion of the membrane.

On the other hand, in the case of forming a hyaluronic acid layer, an aqueous sodium hyaluronate solution layer is formed by a method such as coating or immersion on one or both sides of the collagen material of the present invention obtained in the manner described above by using an aqueous sodium hyaluronate solution of preferably about 0.5-2.0 mg/ml and particularly preferably about 1.0 mg/ml, after which this aqueous solution layer is air-dried to form a hyaluronic acid layer. The aqueous sodium hyaluronate solution layer is formed to a thickness of preferably about 0.5-4.0 mm and particularly preferably about 2 mm in the wet state, or preferably about 0.1-2.0 mm and particularly preferably about 1.0 mm in the dry state (in the case of an aqueous solution of about 1.0 mg/ml) so that the hyaluronic acid layer is able to remain without being degraded or absorbed for about 3-4 weeks until the biomembrane extends and is regenerated from around the missing portion of the membrane to be repaired and fills in the missing portion of the membrane. In order to fix the hyaluronic acid on the surface of the collage material and form the hyaluronic acid layer, a second crosslinking treatment is performed. In the case of hyaluronic acid, it is preferable to perform crosslinking treatment with watersoluble carbodiimide (WSC). In this case, it is preferable to premix WSC with aqueous sodium hyaluronate solution and apply to the collagen material together with sodium hyaluronate to crosslink the carboxyl groups of the collagen with the amino groups of the hyaluronic acid. The concentration of WSC contained in aqueous sodium hyaluronate solution is preferably about 5-20 mg/ml and particularly preferably about 8-15 mg/ml. An aqueous solution containing this sodium hyaluronate and WSC is

10

15

20

25

30

prepared, stirred well and coated onto one or both sides of the collagen material preferably to a thickness of about 1 mm followed by air-drying to form the hyaluronic acid layer.

Since the collagen material of the present invention has excellent strength, it can also be used as surgical suture. A thread-like material containing the collagen material of the present invention can be prepared by substituting the first step of the preparing method described above with the following one, i.e., by preparing an about 1 N hydrochloric acid solution (pH of about 3) of extracted (and naturally, purified) collagen (the collagen concentration is preferably about 0.5-3 wt% and particularly preferably about 1 wt%), and wet spinning by having this jetted out into a coagulation bath through a nozzle having an aperture of preferably about 50-300 µm and particularly preferably about 100 µm, and the other steps are performed in the same manner as the preparation method of the collagen material described above.

Since the collagen material of the present invention prepared in the manner described above has superior physical properties, and particularly superior tear strength, in compared with extracted collagen materials of the prior art, it can be processed into various medical materials using the collagen material alone without laminating to synthetic polymer materials and so forth, and can also suture. In addition, in the case of applying the collagen material of the present invention in the body, it is able to retain its shape for about 3-8 weeks without immediately dissolving. For these reasons, by processing the collagen material of the present invention into the form of a membrane, tube, pouch or clump according to the particular application, it can be used as various types of medical materials. For example, it can be used as an artificial tube for nerve, artificial tube for spinal cord,

10

15

20

25

30

35

artificial esophagus, artificial trachea, artificial blood vessel, artificial valve, artificial alternative medical membrane such as alternative endocranium, artificial ligamenta, artificial tendon, surgical suture, surgical prostheses, surgical reinforcement, wound protecting material, artificial skin and artificial cornea, and can accelerate recovery and regeneration of injured body tissue. Alternatively, it can also be used as a material for astriction or three-dimensional medium in cell culturing.

In addition, an alternative medical membrane comprising the medical material of the present invention obtained in the manner described above can be used to prevent adhesion of viscera and surrounding tissue in missing portions of membrane by filling said portions following various types of surgery. In the alternative medical membrane of the present invention, it is used in which a gelatin gel layer or hyaluronic acid layer is formed on one or both sides so that the crosslinked gelatin gel layer or hyaluronic acid layer is facing the side that comes in contact with surrounding tissue for which it is necessary to prevent adhesion. In the case of using the present alternative medical membrane as an alternative membrane of the pericardium, it is used in which a gelatin gel layer or hyaluronic acid layer is formed on both sides so that the gelatin gel layer or hyaluronic acid layer is facing the sides that come in contact with the surrounding tissue, while in the case of using the present alternative medical membrane as an alternative membrane of the pleura, peritoneum or serous membrane, it is used in which a gelatin gel layer or hyaluronic acid layer is formed on one side so that the gelatin gel layer or hyaluronic acid layer is facing the side that comes in contact with the surrounding tissue. In the case of using as an alternative membrane of the endocranium, it can be used in which a gelatin gel layer or hyaluronic acid layer is formed on

n n sec

15

2.5

30

35

either one or both sides. In the case of using an alternative membrane in which a gelatin gel layer or hyaluronic acid layer is formed on one side, it is used so that the gelatin gel layer or hyaluronic acid layer is facing the side that comes in contact with brain parenchymal tissue. Moreover, this alternative membrane material can also be used as reinforcement for suturing blood vessels, digestive tract, trachea, ureter, urinary bladder, serous membrane or periodontal membrane.

The alternative medical membrane of the present invention that serves as a material for filling missing portions of biomembranes in the manner described above can be used as an alternative membrane of the endocranium, pericardium, pleura, peritoneum or serous membrane. When the present alternative membrane is applied to a surgical wound, while the biomembrane such as the endocranium, pericardium, pleura, peritoneum or serous membrane that remains around the surgical wound extends and regenerates from the site in contact with the present alternative 20 membrane by using the collagen portion of the present alternative membrane as a foothold for regeneration. adhesion is prevented at sites where body tissue comes in contact with the gelatin gel layer or hyaluronic acid layer to prevent cell invasion and extension so that ultimately, the missing portion is filled in by the regenerated biomembrane, after which the present alternative membrane is completely eliminated as a result of degradation and absorption by the body.

As described above, although the collagen material of the present invention as well as a medical material containing the collagen material of the present invention, and particularly an alternative medical membrane, have tear strength that is superior in comparing with conventional collagen materials and medical materials in which they are contained, when using the present medical material as, for

20

2.5

30

example, an artificial urinary bladder, there are cases in which even greater strength is required. Consequently, the collagen material of the present invention and a medical material containing the collagen material of the present invention may have, when so required, a sheet-like mesh intermediate comprised of a biodegradable, absorbable material inside. Examples of said biodegradable, absorbable materials include polyglycolic acid, polylactic acid, copolymer of glycolic acid and lactic acid, polydioxanone, copolymer of glycolic acid and trimethylene carbonate, or a mixture of polyglycolic acid and polylactic acid. Sheet-like mesh intermediates composed of these materials are in the form of, for example, a mesh sheet, woven fabric, non-woven fabric or sheet containing punched 15 holes having a hole diameter of, for example, about 50-2000 μm. Although their thickness is, for example, about 100- $2000 \ \mu m$, the hole diameter and thickness of the mesh intermediate should be suitably changed according to the

specific application. In order to prepare a collagen material having a sheet-like mesh intermediate composed of a biodegradable. absorbable material inside a matrix, the sheet-like mesh intermediate as described above is left immersed in a collagen hydrochloric acid solution cast during formation of the collagen hydrochloric acid solution as the first step of the preparation method for the collagen material previously described, after which the collagen hydrochloric acid solution layer is subjected to following steps such as freezing and freeze-drying. However, in the case of this form, since it is generally unnecessary to fill the matrix with new collagen ultra-fine fibers, the steps required for said filling, for example the steps consisting of additional introduction of extracted collagen hydrochloric acid solution, repeated freezing and repeated freeze-drying,

15

20

25

30

as well as the final thermal dehydration crosslinking step, need not be performed. On the other hand, other additional steps, namely formation of additional layers on the surface of said collagen material (on one or both sides), such as, for example, the formation of a collagen solution layer, gelatin gel layer or hyaluronic acid layer (and thermal dehydration crosslinking is performed after these procedures as a general rule), may be suitably performed as necessary.

The following provides an explanation of the present invention using an example of producing a membrane-like collagen material.

Example 1

A 1 N hydrochloric acid solution of extracted collagen (collagen concentration: 1 wt%) was prepared using pigskin collagen, and the solution was poured into a Petri dish to prepare a collagen solution layer having a thickness of 18 mm. This was then frozen for 24 hours at $-20\,^{\circ}\text{C}$ and then freeze-dried for 48 hours at -80°C. Next, a multi-element structure of said freeze-dried collagen fibers (to be simply referred to as the multi-element structure) was subjected to thermal dehydration crosslinking for 24 hours at 140°C under a vacuum followed by generating negative pressure (50 cmH2O) inside said multi-element structure with a flow aspirator to introduce a 1 N hydrochloric acid solution of extracted collagen (collagen concentration: 0.5 wt%) into said multi-element structure and fill the cavities inside said multi-element structure with nonfibrous collagen. Next, after performing freezing and freeze-drying under the same conditions as previously described (procedure for reducing the cavities inside said multi-element structure by newly formed fibrous collagen), said multi-element structure was compressed (500 kgf/cm2) to a thickness of 1 mm followed by immersion of said

7.9

25

compressed multi-element structure in a 1 N hydrochloric acid solution of extracted collagen (collagen concentration: 2 wt%) and air-drying (formation of collagen solution layer; performed once). This was then subjected to thermal dehydration crosslinking under the same conditions as previously described to obtain the collagen material of the present invention.

The one-point support tensile force and rupture resistance tensile force were measured in the wet and dry states for the collagen material of the present invention prepared in the manner described above according to the methods described below. The results are shown in Table 1.

Test strips of 10 mm x 25 mm were prepared for measuring the above items. Tensile force was applied

15 uniformly at speed B (5 mm/min) of ISO in the measure axis direction of the test strip using a digital push-pull gauge (CPU gauge from Aiko Engineering Co.,Ltd.) in a constant temperature, constant humidity bath at 25°C and humidity of 50% according to the method described below, and the

20 maximum tensile force at which the membrane ruptures was measured in both the dry and wet states (hydrated for 1 minute or 30 minutes in physiological saline at 37°C).

One-Point Support Tensile force

A site 5 mm to the inside from the center of one end of a test strip was sutured with a thread (4-0 proline or 2 dexon) and anchored, while tensile force was applied to the other end by uniformly clamping with a clip.

2. Rupture Resistance Tensile Force

Tensile force was applied to both ends of a test strip 30 by uniformly clamping both ends with clips.

The results are shown in Table 1 (in the table, "Wet State A" indicates data for which the hydration time was 1 minute, while "Wet State B" indicates data for which the hydration time was 30 minutes).

25

30

Example 2

With the exception of performing the procedure for forming a collagen solution layer onto the above compressed multi-element structure five times, a collagen material of the present invention was obtained in the same manner as Example 1. The one-point support tensile force and rupture resistance tensile force of said collagen material was measured in the dry and wet states according to the same method as Example 1. Those results are shown in Table 1.

10 Example 3

With the exception of performing thermal dehydration crosslinking treatment under the same conditions as previously described and reducing the cavities inside the multi-element structure with newly formed fibrous collagen 15 in order between the above second freeze-drying procedure and compression procedure, as well as not performing the procedure for forming a collagen solution layer on the above compressed multi-element structure, the one-point support tensile force and rupture resistance tensile force of the resulting collagen material of the present invention were measured in the dry and wet states according to the same method as Example 1. Those results are shown in Table 1.

Example 4

With the exception of not performing the procedure for forming a collagen solution layer on the above compressed multi-element structure, a collagen material of the present invention was obtained in the same manner as Example 1. The one-point support tensile force and rupture resistance tensile force of said collagen material were measured in the dry and wet states according to the same method as Example 1. Those results are shown in Table 1. Example 5

With the exception of containing a mesh sheet 35 (aperture: 1 mm) of polyglycolic acid inside the collagen

solution layer formed first, the surface of which was pretreated with plasma discharge treatment, during formation of said first collagen solution layer, and not performing the procedure for reducing the cavities inside said multi-element structure with newly formed fibrous collagen, a collagen material of the present invention was obtained in the same manner as Example 1. The one-point support tensile force and rupture resistance tensile force of said collagen material were measured in the dry and wet states according to the same method as Example 1. Those results are shown in Table 1.

Example 6

10

20

25

30

With the exception of performing a procedure for forming a gelatin gel layer on one side of the above compressed multi-element structure (by coating a 15% aqueous gelatin solution onto said side and drying) instead of forming a collagen solution layer on said compressed multi-element structure, a collagen material of the present invention was obtained in the same manner as Example 5. The one-point support tensile force and rupture resistance tensile force of said collagen material were measured in the dry and wet states according to the same method as Example 1. Those results are shown in Table 1.

With the exception of performing the procedure for forming a gelatin gel layer on both sides of the above compressed multi-element structure, a collagen material of the present invention was obtained in the same manner as Example 6. The one-point support tensile force and rupture resistance tensile force of said collagen material were measured in the dry and wet states according to the same method as Example 1. Those results are shown in Table 1.

Table 1

35

and the second second

Dry Stat		State	ate Wet State A		Wet State B	
	One-point support tensile force	Rupture resistance tensile force	One-point support tensile force	Rupture resistance tensile force	One-point support tensile force	Rupture resistance tensile force
Example 1	50.9	124.8	11.2	44.3	1.6	-
Example 2	54.6	114.6	19.6	23.4	2.0	-
Example 3	44.3	91.4	5.1	12.1	2.5	-
Example 4	33.1	68.3	1.4	6.5	1.2	4.1
Example 5	11.1	27.9	5.6	18.8	-	-
Example 6	18.0	45.6	12.9	20.0	-	-
Example 7	20.0	49.8	16.5	34.2	-	-
Reference	1.0	3.1	0.7	2.6	-	-

As shown in Table 1, the collagen materials of the present invention were confirmed to have superior physical properties that are able to withstand suturing.

5 Furthermore, the reference data in the table shows the data for a mesh sheet of polyglycolic acid only.

Example 8

A non-woven fabric (2 plies, thickness: 0.20 mm, percentage of void: 88.75%) of polyglycolic acid subjected to plasma discharge treatment and extracted collagen 10 hydrochloric acid solution (collagen concentration: 1 wt%) in an amount sufficient to allow immersion of the above non-woven fabric were placed in a container, said container was housed in a desiccator, and the pressure inside said 15 desiccator was reduced with a flow aspirator (-50 cmH2O). After then maintaining that state for a predetermined amount of time (1-2 minutes) and allowing said extracted collagen hydrochloric acid solution to adequately soak into said non-woven fabric, said non-woven fabric was removed 20 from said desiccator and air-dried. After performing each of the procedures of freezing, holding that state, freezedrying and thermal dehydration crosslinking under the same conditions as Example 1 on said air-dried non-woven fabric, immersion in extracted collagen hydrochloric acid solution (collagen concentration: 1 wt%) and air-drying were 25

repeated twice to form a solution layer of amorphous collagen on the surface of the non-woven fabric filled with fibrous collagen. Next, after forming a gelatin gel layer on the surface of said amorphous collagen solution layer (performed by repeating immersion in 20% agueous gelatin solution and air-drying twice), thermal dehydration crosslinking was performed under the same conditions as Example 1 to obtain a collagen material of the present invention.

10 Example 9

5

20

30

With the exception of using that having 4 plies (thickness: 0.55 mm, percentage of void: 75.1%) for the non-woven fabric of polyglycolic acid and not performing the series of procedures consisting of freezing, holding 15 that state, freeze-drying and thermal dehydration crosslinking on said non-woven fabric into which extracted collagen hydrochloric acid solution was allowed to adequately soak, a collagen material of the present invention was obtained in the same manner as Example 8. Furthermore, since the obtaining of strength was able to be confirmed in a preliminary test, additional detailed strength testing was not performed in the same manner as Example 8.

25 Industrial Applicability

Since the collagen material of the present invention has physical properties that allow suturing even though it retains biochemical properties inherently possessed by collagen, it can be widely used as various types of medical materials. In addition, the alternative medical membrane of the present invention presents no ethical problems, can be provided in stable supply, and can be sutured to a surgical wound as a material that fills in the missing portion of a biomembrane or as a material that prevents 35 adhesion. In addition, while it demonstrates effects that

prevent adhesion, since it remains for a period of time after suturing until the biomembrane regenerates and is then gradually degraded and absorbed, it does not cause inflammation and so forth as a result of remaining in body tissue for a long period of time, thereby allowing it to be used safely.

October 1917 Annual Company Co

20

25

CLAIMS

- 1. A collagen material which is filled or had inside a substance having biocompatibility that can be degraded 5 and absorbed in the body into a matrix of a non-woven fabric-like multi-element structure of collagen fibers having ultra-fine fibers of collagen as its basic unit.
- 2. The collagen material according to claim 1, 10 wherein said substance having biocompatibility that can be degraded and absorbed in the body and being filled into said matrix is collagen fiber containing ultra-fine fibers of collagen newly formed by performing a freezing and freeze-drying procedure to a hydrochloric acid solution of extracted collagen introduced into said matrix.
 - 3. The collagen material according to claim 1, wherein said substance having biocompatibility that can be degraded and absorbed in the body and being contained inside said matrix is selected from the group consisting of polyglycolic acid, polylactic acid, copolymer of glycolic acid and lactic acid, polydioxanone, copolymer of glycolic acid and trimethylene carbonate, and a mixture of polyglycolic acid and polylactic acid, and is used as a mesh-like sheet or tube, or a non-woven fabric-like sheet or tube.
- 4. The collagen material according to claims 2 or 3, wherein said non-woven fabric-like multi-element structure 30 of collagen fiber is composed of that in which collagen plate-like fibers having a diameter of 20-50 µm are randomly intertwined, said plate-like fibers are composed of that in which collagen fibers having a diameter of 5-8 um overlap in the coaxial direction, said fibers are

10

15

20

composed of that in which bundled rows of narrow collagen fibers having a diameter of 1-3 μm are alternately overlapping as warp and weft, said narrow fibers are composed of that in which fine collagen fibers having a diameter of 30-70 nm are bundled, and said fine fibers are composed of that in which ultra-fine collagen fibers having a diameter of 3-7 nm that are comprised of several collagen molecules are bundled.

- 5. A collagen material in which a biocompatible substance that can be degraded and absorbed in the body is filled into a non-woven fabric-like matrix of a substance having biocompatibility that can be degraded and absorbed in the body.
- 6. The collagen material according to claim 5, wherein said substance having biocompatibility that can be degraded and absorbed in the body which composes said non-woven fabric-like matrix is selected from the group consisting of polyglycolic acid,, polylactic acid, copolymer of glycolic acid and lactic acid, polydioxanone, copolymer of glycolic acid and trimethylene carbonate, and a mixture of polyglycolic acid and polylactic acid.
- 7. The collagen material according to claim 5, wherein said substance having biocompatibility that can be degraded and absorbed in the body and is filled into said matrix is amorphous collagen obtained by air-drying a hydrochloric acid solution of extracted collagen introduced into said matrix, or collagen fiber containing ultra-fine fibers of collagen newly formed by performing a freezing and freeze-drying procedure to said hydrochloric acid solution of extracted collagen introduced into said matrix.

8. The collagen material according to claim 7, wherein said collagen fibers are composed of that in which collagen plate-like fibers having a diameter of 20-50 μm are randomly intertwined, said plate-like fibers are 5 composed of that in which collagen fibers having a diameter of 5-8 µm overlap in the coaxial direction, said fibers are composed of that in which bundled rows of narrow collagen fibers having a diameter of 1-3 µm are alternately overlapping as warp and weft, said narrow fibers are composed of that in which fine collagen fibers having a diameter of 30-70 nm are bundled, and said fine fibers are composed of that in which ultra-fine collagen fibers having a diameter of 3-7 nm that are comprised of several collagen molecules are bundled.

15

20

10

The collagen material according to claims 2 or 4. wherein said colagen material has one-point support tensile force of at least 30 N and rupture resistance tensile force of at least 65 N in the dry state, and has one-point support tensile force of at least 1.4 N and rupture resistance tensile force of at least 6.5 N in the wet state (for a thickness of 1 mm).

25

30

10. The collagen material according to any one of claims 3, 4 and 6 to 8, wherein said collagen material has one-point support tensile force of at least 10 N and rupture resistance tensile force of at least 25 N in the dry state, and has one-point support tensile force of at least 5 N and rupture resistance tensile force of at least 15 N in the wet state (for a thickness of 1 mm).

11. A production process of a collagen material comprising performing at least the steps indicated below in order:

20

25

- a. a collagen solution layer is formed by casting a hydrochloric acid solution of extracted collagen to a desired thickness:
- b. said collagen solution layer is temporarily frozen
 and held in that state for a desired amount of time
 followed by freeze-drying:
 - c. thermal dehydration crosslinking is performed for a predetermined amount of time on said freeze-dried product;
- d. said hydrochloric acid solution of extracted collagen is introduced into the matrix of said thermal dehydration crosslinked product;
 - e. the product introduced said solution of extracted collagen therein is temporarily frozen, held in that state for a predetermined amount of time and then freeze-dried;
 - g. said freeze-dried product is compressed; and,
 - i. thermal dehydration crosslinking is performed for a predetermined amount of time on that compressed product.
 - 12. The process according to claim 11, wherein the following steps are performed in order between said step e and step g:
 - f1. said hydrochloric acid solution of extracted collagen is again introduced in the matrix of said freezedried product; and,
 - f2. the product introduced said extracted collagen solution therein is temporarily frozen, held in that state for a desired amount of time, and then freeze-dried.
- 30 13. The process according to claims 11 or 12, wherein the following step is performed between said steps g and 1:
 - h1. a collagen solution layer is formed at a predetermined site on the surface of said compressed product.

20

25

- 14. The process according to claim 13, wherein the following step is performed between said steps h1 and i: h2. said collagen solution layer is compressed.
- 5 15. The process according to any one of claims 11 to 14, wherein the freezing and holding time during the freezing procedure in said steps b, e and f2 is 6-48 hours.
- 16. The process according to any one of claims 11 to 10 14, wherein the collagen concentration of the hydrochloric acid solution of extracted collagen in said steps d and f1 is 0.5 wt% or less.
 - 17. The process according to claims 13 or 14, wherein the collagen concentration of the hydrochloric acid solution of extracted collagen for forming a collagen solution layer in said step h1 is 2.0 wt% or less.
 - 18. The process according to claims 11 or 15, wherein casting of a hydrochloric acid solution of extracted collagen in said step a is divided into two procedures, and a mesh-like sheet or tube comprising a material selected from the group consisting of polyglycolic acid, polylactic acid, copolymer of glycolic acid and lactic acid, polydioxanone, copolymer of glycolic acid and trimethylene carbonate and a mixture of polyglycolic acid and polylactic
- acid is contained between both collagen solution layers between both casting procedures, said step g is performed after said step c while said step i is not performed.
 - 19. The process according to claim 18, wherein the following steps are additionally performed after said step $g\colon$
- $$\rm h3.~$ a collagen solution layer or gelatin gel layer is 35 $\,$ formed on at least one side of said compressed product; and,

- h4. thermal dehydration crosslinking is performed on the product formed said collagen solution layer or said gelatin gel layer.
- 20. The process according to claim 19, wherein the collagen concentration of the hydrochloric acid solution of extracted collagen for forming said collagen solution layer is 2 wt% or less.
- 10 21. The process according to claim 19, wherein said gelatin concentration of the gelatin aqueous solution for forming said gelatin gel layer is 5-25 wt%.
 - 22. A production process of a collagen material comprising performing at least the following steps in order:
- j. a hydrochloric acid solution of extracted collagen is introduced into a non-woven fabric-like sheet-like or tube-like matrix comprising a material selected from the 20 group consisting of polyglycolic acid, polylactic acid, copolymer of glycolic acid and lactic acid, polydioxanone, copolymer of glycolic acid and trimethylene carbonate and a mixture of polyglycolic acid and polylactic acid, followed by air-drying;
- 25 1. a collagen solution layer is formed on at least one side of the product introduced and air-dried said hydrochloric acid solution of extracted collagen;
 - o. a gelatin gel layer is formed on said collagen solution layer; and,
- 30 p. thermal dehydration crosslinking is performed on the product formed said gelatin gel layer for predetermined amount of time.
 - 23. The process according to claim 22, wherein the following step k is performed between said steps j and l,

20

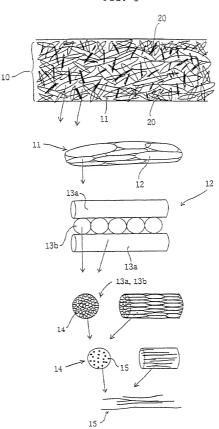
and the following step m is performed between said steps 1 and o:

- k. the product introduced said extracted collagen is temporarily frozen, and that state is maintained for a predetermined amount of time followed by freeze-drying;
- m1. the product on which said collagen solution layer is formed is temporarily frozen, and that state is maintained for a predetermined amount of time followed by freeze-drying; and,
- 10 m2. the product freeze-dried is compressed.
 - 24. The process according to claims 22 or 23, wherein the following step n is performed between said steps 1 and o or between said steps m2 and o:
 - n. thermal dehydration crosslinking is performed for a predetermined amount of time on the product on which said collagen solution layer is formed or the product freezedried.
 - 25. The process according to any one of claims 22 to 24, wherein the collagen concentration of the hydrochloric acid solution of extracted collagen in said steps j and l is 2.0 wt% or less.
- 25 26. The process according to any one of claims 22 to 24, wherein the gelatin concentration of the gelatin aqueous solution in said step o is 5-25 wt%.
- 27. The process according to any one of claims 11 to
 30 17, wherein the collagen material produced has one-point
 support tensile force of at least 30 N and rupture
 resistance tensile force of at least 65 N in the dry state,
 and one-point support tensile force of at least 1.4 N and
 rupture resistance tensile force of at least 6.5 N in the
 35 wet state (for a thickness of 1 mm).

28. The process according to any one of claims 18 to 26, wherein the collagen material produced has one-point support tensile force of at least 10 N and rupture resistance tensile force of at least 25 N in the dry state, and one-point support tensile force of at least 5 N and rupture resistance tensile force of at least 25 N in the wet state (for a thickness of 1 mm).

The objective of the present invention is to provide a collagen material that possesses physical properties to an extent that allows suturing while still maintaining the biochemical properties inherently possessed by collagen, and retains its shape for a certain amount of time even after application to the body; its production process; and, a medical material on which it is based, examples of which include a artificial tube for nerve, artificial tube for 10 spinal cord, artificial esophagus, artificial trachea, artificial blood vessel, artificial valve or alternative medical membranes such as artificial endocranium, artificial ligamenta, artificial tendons, surgical sutures, 15 surgical prostheses, surgical reinforcement, wound protecting materials, artificial skin and artificial cornea, characterized by filling or having inside a substance having biocompatibility that can be degraded and absorbed in the body into a matrix of a non-woven fabric-like multielement structure of collagen fibers having ultra-fine 20 fibers of collagen as its basic unit.

FIG. 1



COVERT NAME OF A DO

which is described and claimed in:

Attorney's Docket	No.	

Page 1 of 4

DECLARATION AND POWER OF ATTORNEY

As a below named inventor, I hereby declare that: My residence, post office address and citizenship are as stated below next to my name. I believe I am the original, first and sole inventor (if only one name is listed at 201) below or an original, first and joint inventor (if plural names are listed at 201-208 below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

COLLAGEN MATERIAL AND ITS PRODUCTION PROCESS

☐ the speci	fication in U.S. Application Serial Nu	mber, file	d on
	fication in PCT international application in PCT internation in PC		
aims, as amended by any amendn e examination of this application fiority benefits under Title 35, Ur	eviewed and understand the contents of near referred to above. I acknowledge in accordance with Title 37, Code of nited States Code, \$119 of any foreign we any foreign application for patent of is claimed.	the duty to disclose informations. \$1.56(a Federal Regulations, \$1.56(a application(s) for patent or	ation which is material t i). I hereby claim foreign inventor's certificate list
Prior Foreig	n/PCT Applications and Any Priori	ty Claims Under 35 U.S.C.	119:
Prior Foreig	n/PCT Applications and Any Priori	ty Claims Under 35 U.S.C.	Priority Claimed Under 35 U.S.C. 119?
Application No.			Priority Claimed Under 35
Application No.	Filing Date	Country	Priority Claimed Under 35 U.S.C. 119?
Application No. 054782/1998 163674/1998	Filing Date 06/03/1998	Country	Priority Claimed Under 35 U.S.C. 119?
Application No. 054782/1998 163674/1998	Filing Date 06/03/1998 11/06/1998	Country Japan Japan	Priority Claimed Under 35 U.S.C. 119? □YES ⑤NO
Application No. 054782/1998 163674/1998	Filing Date 06/03/1998 11/06/1998	Country Japan Japan	Priority Claimed Under 35 U.S.C. 119? □YES MNO MYES □NO
	Filing Date 06/03/1998 11/06/1998	Country Japan Japan	Priority Claimed Under 35 U.S.C. 119? □YES MNO ÑYES □NO ©YES □NO □YES □NO

Prior U.S. Applications or PCT International Applications Designating the U.S-Benefit Under 35 U.S.C. §120							
	U.S. Applications				Status (Check One)		
Applicatio	n Serial No.	U.S. Filing Date	Patented	Pending	Abandoned		
PC	T Applications Design	ating the U.S.					
Application No.	Filing Date	U.S. Serial No. Assigned					
			<u> </u>				

CLAIM FOR BENEFIT OF PRIOR U.S. PROVISIONAL APPLICATION(S) (35 U.S.C. § 119(e))

I hereby claim the benefit under Title 35, United States Code, §119(e) of any United States provisional application(s) listed below:

Applicant	Provisional Application Number	Filing Date

POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorney(s) with full powers of association, substitution and revocation to prosecute this application and transact all business in the Parent and Trademark Office connected therewith.

Sewall P. Bronstein Linda M. Buckley David S. Resnick (Reg. No. 34,235) (Reg. No. 16,919) (Reg. No. 31,003) David G. Contin Ronald I. Eisenstein (Reg. No. 30,628) Peter F. Corless (Reg. No. 33,860) (Reg. No. 27,026) George W. Neuner (Reg. No. 26,964) (Reg. No. 29,822) Henry D. Pahl, Jr. (Reg. No. 20,438) Ernest V. Linek Peter J. Manus (Reg. No. 26,766)

SEND CORRESPONDENCE TO:	DIRECT TELEPHONE CALLS TO:
Dike, Bronstein, Roberts & Cushman, LLP 130 Water Street	-
Boston, Massachusetts 02109	(617) 523-3400

10

×Ξ
1
i de
10
111
1 de
120
1
-2
11
ıdı
i ni
13
13

	FULL NAME OF INVENTOR	LAST NAME	FIRST NAME	MIDDLE NAME
2 0 7	RESIDENCE & CITIZENSHIP	СПУ	STATE OR FOREIGN COUNTRY	COUNTRY OF CITIZENSHIP
	POST OFFICE ADDRESS	POST OPPICE ADDRESS	CITY	STATE OR COUNTRY AND ZIP CODE

	FULL NAME OF INVENTOR	LAST NAME	FIRST NAME	MIDDLE NAME
2 0 8	RESIDENCE & CITIZENSHIP	CITY	STATE OR FOREIGN COUNTRY	COUNTRY OF CITIZENSHIP
	POST OFFICE ADDRESS	POST OFFICE ADDRESS	CITY	STATE OR COUNTRY AND ZIP CODE

I hereby further declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further, that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 frite 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Signature of Inventor 201	Signature of Inventor 202
Yashika Shimish	
Date:	Date:
November 30, 2000	
Signature of Inventor 203	Signature of Inventor 204
Date:	Date:
Signature of Inventor 205	Signature of Inventor 206
Date:	Date:
Signature of Inventor 207	Signature of Inventor 208
Date:	Date: